

## Identical Particles, Symmetry, and Pauli Exclusion Principle PHYS2332 Modern Physics II W2018

**Identical Particles** Consider the 1D harmonic oscillator as a quasi-particle (i.e. an excitation that can be treated like a particle), with solution given by the *wave function*  $\psi_n$  (equation 6.57 on page 223) that describes the  $n (= 0, 1, 2, \dots)$  state with energy  $E_n = \hbar\omega(n + 1/2)$ , equation 6.58 (page 223). Assume that there are two such **identical particles or quasi-particles**: particle number 1 in the  $n^{\text{th}}$  quantum state  $\psi_n(1)$ ; particle number 2 in the  $m^{\text{th}}$  quantum state  $\psi_m(2)$ .

**Definition:** let  $\psi_m(x_1)$  be the **normalized** wavefunction in equation 6.57 that described particle number 1 in a 1D harmonic oscillator state  $m$ , with energy  $E_m = \hbar\omega(m + 1/2), m = 0, 1, 2, 3, \dots$ . Similarly, let  $\psi_n(x_2)$  be the **normalized** wavefunction of particle number 2 in a 1D harmonic oscillator state  $n$ , with energy  $E_n = \hbar\omega(n + 1/2), n = 0, 1, 2, 3, \dots$ .

Finally let  $\psi(1,2)$  denotes the total wavefunction that describes the system of **two identical particles**.

- a) Assume the particles are **distinguishable**. Determine the energy of the **ground state** and **first-excited state**, and write down the **normalized** form of the corresponding two-particle wave functions  $\psi(1,2)$ .

**Ground (0):**  $\psi_0(1,2) = \psi_0(x_1)\psi_0(x_2)$ , particle 1 in  $m = 0$  state with energy  $\hbar\omega/2$ , and particle 2 in  $n = 0$  state with energy  $\hbar\omega/2$ . The ground state energy is hence  $\hbar\omega/2 + \hbar\omega/2 = \hbar\omega$ .

**First Excited (1):**  $\psi_1(1,2) = \psi_0(x_1)\psi_1(x_2)$ , particle 1 in  $m = 0$  state with energy  $\hbar\omega/2$ , and particle 2 in  $n = 1$  state with energy  $3\hbar\omega/2$ . The first excited state energy is hence  $\hbar\omega/2 + 3\hbar\omega/2 = 2\hbar\omega$ . **Alternatively**, we can switch the roles of particle 1 and 2, by exchanging the particle label  $1 \leftrightarrow 2$ , so that particle 1 is in state  $m = 1$ , and particle 2 is in the state  $n = 0$ .

- b) Assume the particles are **indistinguishable bosons**. Determine the energy of the **ground state** and **first-excited state**, and write down the **normalized** form of the corresponding two-particle wave functions  $\psi(1,2)$ .

**Ground (0):**  $\psi_0(1,2) = \psi_0(x_1)\psi_0(x_2)$ , particle 1 in  $m = 0$  state with energy  $\hbar\omega/2$ , and particle 2 in  $n = 0$  state with energy  $\hbar\omega/2$ . Hence the ground state energy is  $\hbar\omega/2 + \hbar\omega/2 = \hbar\omega$ . **Note** that there's no difference with the distinguishable particle of part b, since identical bosons are allowed to occupy the same quantum states.

**First Excited (1):**  $\psi_1(1,2) = \frac{1}{\sqrt{2}}(\psi_0(x_1)\psi_1(x_2) + \psi_1(x_1)\psi_0(x_2))$ . The first term indicates particle 1 in  $m = 0$  state with energy  $\hbar\omega/2$ , and particle 2 in  $n = 1$  state with energy  $3\hbar\omega/2$ . The second term indicates particle 1 in  $m = 1$  state with energy  $3\hbar\omega/2$ , and particle 2 in  $n = 0$  state with energy  $\hbar\omega/2$ . In both cases, the first excited state energy is hence  $\hbar\omega/2 + 3\hbar\omega/2 = 2\hbar\omega$ . **Note** that  $\psi_1(1,2)$  expresses

that one particle is in the  $m = 1$  state and the other is in the  $n = 2$  state, but we can't tell which ones. This is the essence of the principle of indistinguishable identical particles.

**Symmetry:** If the labels of particle 1 and 2 are exchanged  $1 \leftrightarrow 2$ , the total wavefunction becomes:

$$\begin{aligned}\psi_1(2,1) &= \frac{1}{\sqrt{2}}(\psi_0(x_2)\psi_1(x_1) + \psi_1(x_2)\psi_0(x_1)) \\ &= \frac{1}{\sqrt{2}}(\psi_0(x_1)\psi_1(x_2) + \psi_1(x_2)\psi_0(x_1)) = \psi_1(1,2)\end{aligned}$$

Hence  $\psi_1(2,1) = +\psi_1(1,2)$ , and the wave function is symmetric. Similarly it is **trivial** to show that for the ground state  $\psi_0(2,1) = +\psi_0(1,2)$ .

- c) Assume the particles are **indistinguishable fermions**. Determine the energy of the **ground state** and **first-excited state**, and write down the **normalized** form of the corresponding two-particle wave functions  $\psi(1,2)$ .

Unlike the previous two cases the two identical fermions obeys the **Pauli exclusion principle**, and cannot both occupy the  $n = 0 = m$  states. One of the particles must be in the 1 state, as shown below:

**Ground State (0):**  $\psi_0(1,2) = \frac{1}{\sqrt{2}}(\psi_0(x_1)\psi_1(x_2) - \psi_1(x_1)\psi_0(x_2))$ . The first term

indicates particle 1 in  $m = 0$  state with energy  $\hbar\omega/2$ , and particle 2 in  $n = 1$  state with energy  $3\hbar\omega/2$ . The second term indicates particle 1 in  $m = 1$  state with energy  $3\hbar\omega/2$ , and particle 2 in  $n = 0$  state with energy  $\hbar\omega/2$ . In both cases, the ground state energy is hence  $\hbar\omega/2 + 3\hbar\omega/2 = 2\hbar\omega$ .

**Anti-Symmetry:** If the labels of particle 1 and 2 are exchanged  $1 \leftrightarrow 2$ , the total wavefunction becomes:

$$\begin{aligned}\psi_0(2,1) &= \frac{1}{\sqrt{2}}(\psi_0(x_2)\psi_1(x_1) - \psi_1(x_2)\psi_0(x_1)) \\ &= -\frac{1}{\sqrt{2}}(\psi_0(x_1)\psi_1(x_2) - \psi_1(x_2)\psi_0(x_1)) = -\psi_0(1,2)\end{aligned}$$

Hence  $\psi_0(2,1) = -\psi_0(1,2)$ , and the wave function is anti-symmetric.

**First excited state (1):**  $\psi_1(1,2) = \frac{1}{\sqrt{2}}(\psi_0(x_1)\psi_2(x_2) - \psi_2(x_1)\psi_0(x_2))$ . The first

term indicates particle 1 in  $m = 0$  state with energy  $\hbar\omega/2$ , and particle 2 in  $n = 2$  state with energy  $5\hbar\omega/2$ . The second term indicates particle 1 in  $m = 2$  state with energy  $5\hbar\omega/2$ , and particle 2 in  $n = 0$  state with energy  $\hbar\omega/2$ . In both cases, the first excited state energy is  $\hbar\omega/2 + 5\hbar\omega/2 = 6\hbar\omega$ . It is easy to show that this state is **anti-symmetric**.

**Final Point:** Note that the state where both particle are in the state  $n = 1 = m$  also has the energy  $6\hbar\omega$ , but again this is **forbidden** for **identical fermions**.